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## Converted-phase Seismic Imaging - Amplitude-balancing Source-independent Imaging Conditions

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### SUMMARY

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We present cross-correlational and de-convolutional forms of a source-independent converted-phase imaging condition (SICP-IC) and show comparisons between them using the synthetic Marmousi model. The results show significant improvements in spatial resolution and amplitude-balancing with the deconvolutional forms. This opens up the possibility of true-amplitude full-wavefield imaging with enormous advantages in processing time and cost by using source-independent imaging compared to conventional source-dependent imaging.

## Introduction

Seismic imaging of the earth's interior is of a great importance in exploration and global seismology. It produces images of subsurface discontinuities associated with impedance contrasts through reflection, transmission or conversion coefficients of propagating waves. One of the pioneering studies on seismic imaging was presented by Claerbout (1971) where the concept of imaging condition (IC) was introduced. This concept is based on a fundamental assumption that acquisition/survey geometry is well known: both source and receiver locations are known and seismic waves can be propagated from these locations. However, when source information is not available, seismic images cannot be constructed using Claerbout's approach. An alternative approach is to form an image using the interference between different wave types propagated backward in time from receiver locations only (e.g., Shang et al., 2012; Shabelansky et al., 2014). We call this imaging condition Source-Independent Converted-Phase Imaging Condition (SICP-IC). In this paper, we present amplitude-balancing SICP-ICs and test them numerically with synthetic Marmousi model.

## Source independent converted phase imaging condition (SICP-IC)

Propagating elastic waves from a point source at the surface generates, at the reflection point, both reflected and converted phase waves (see Figure 1(a)). Claerbout's imaging condition attempts to mimic the reflection coefficient  $R_{pp} = u_{pp}^{refl} / u_p^{inc}$  (or alternatively the conversion coefficient  $R_{ps} = u_{ps}^{refl} / u_p^{inc}$ ) of the reflection point, where the incident wavefield,  $u_p^{inc}$ , is calculated by forward propagation from the source and is often called the source wavefield, and the reflected wavefield  $u_{pp}^{refl}$  (or converted wavefield  $u_{ps}^{refl}$ ) is calculated by back-propagation in time from the receivers and is called the receiver wavefield (see Figure 1(b)). By contrast, SICP imaging uses two back-propagated wavefields (i.e., PP and PS, and/or SS and SP) simultaneously (see Figure 1(c)), and it turns out that the SICP-IC mimics the ratio between reflection and conversion (or conversion and reflection) coefficients, which we call the conversion ratio coefficients  $C$  (Shabelansky, 2015)

$$C_{ps} := \frac{R_{ps}}{R_{pp}} = \frac{\frac{u_{ps}^{refl}}{u_p^{inc}}}{\frac{u_{pp}^{refl}}{u_p^{inc}}} = \frac{u_{ps}^{refl}}{u_{pp}^{refl}}, \quad C_{sp} := \frac{R_{sp}}{R_{ss}} = \frac{\frac{u_{sp}^{refl}}{u_s^{inc}}}{\frac{u_{ss}^{refl}}{u_s^{inc}}} = \frac{u_{sp}^{refl}}{u_{ss}^{refl}}, \quad (1)$$

where  $C_{ps}$  and  $C_{sp}$  are given for incident P- and S-wavefields respectively. Note that the incident wavefield cancels in equation 1, and the source location, marked with the star in Figure 1(c), is not used in SICP-IC (i.e., the incident wavefield marked with a grey line is not used). Moreover, the source location of the signal can be anywhere along the grey line (see Figure 1(d)), which makes SICP-IC applicable to any type of seismic data (i.e., active and passive). In addition, the sources along these grey lines can be outside of the computational grid while still constructing the image only in the vicinity of the receivers. This is the strength of this method. The explicit form of the SICP-IC for  $N_s$  sources with P-wave illumination (i.e., denominator) is

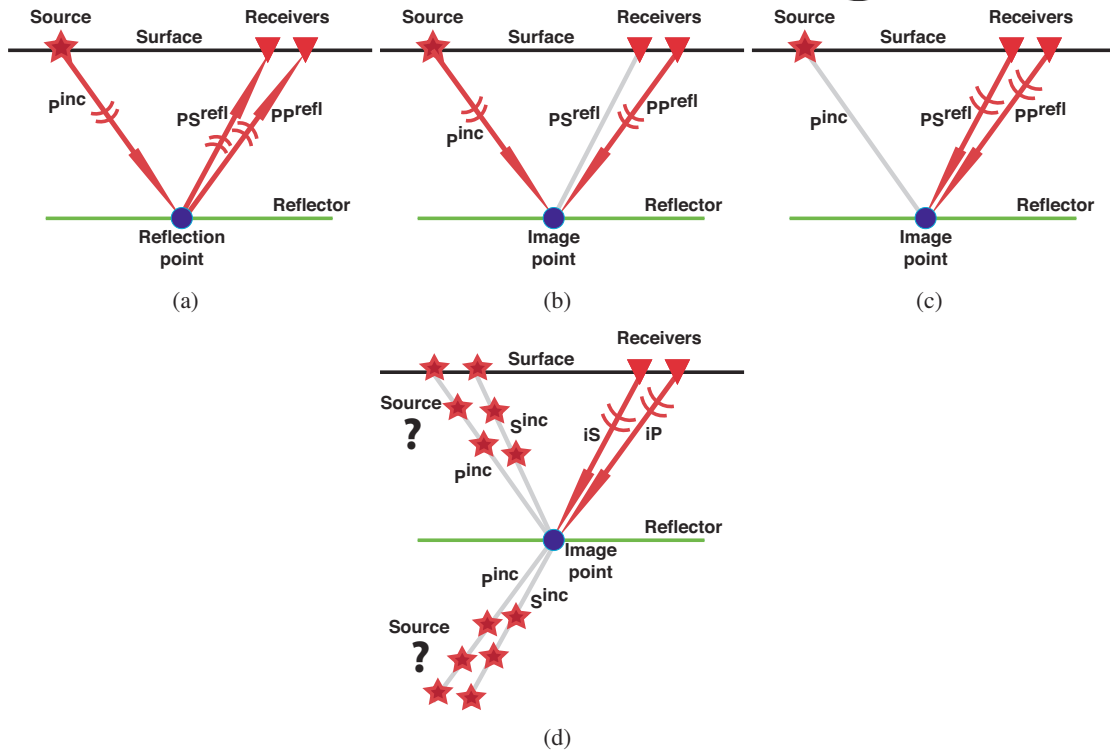
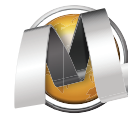
$$I_p^{decon}(\underline{x}) := \sum_j^{N_s} \int_T^0 \frac{\underline{u}_{ip}^j(\underline{x}, t) \cdot \underline{u}_{is}^j(\underline{x}, t)}{(\underline{u}_{ip}^j(\underline{x}, t))^2 + \varepsilon^2} dt, \quad (2)$$

and with S-wave illumination

$$I_s^{decon}(\underline{x}) := \sum_j^{N_s} \int_T^0 \frac{\underline{u}_{ip}^j(\underline{x}, t) \cdot \underline{u}_{is}^j(\underline{x}, t)}{(\underline{u}_{is}^j(\underline{x}, t))^2 + \varepsilon^2} dt, \quad (3)$$

where  $I$  is the calculated image and  $\varepsilon^2$  is a small number. The subscript  $i$  can be either  $p$  or  $s$ . The spatial and time coordinates are  $\underline{x}$  and  $t$ , and  $T$  is the maximum recorded time; it is at the lower limit of the integral (i.e., the data are propagated backward in time). The superscript  $j$  refers to the source index, and the subscript of  $I$  denotes the illuminating wavefield. The vector wavefields  $\underline{u}_{ip}$  and  $\underline{u}_{is}$  refer to P- and S-wavefields, regardless of the wave type of their incident wavefield, and are calculated as

$$\underline{u}_{ip}(\underline{x}, t) = \nabla \nabla \cdot \underline{u}(\underline{x}, t), \quad \underline{u}_{is}(\underline{x}, t) = -\nabla \times \nabla \times \underline{u}(\underline{x}, t), \quad (4)$$



**Figure 1** Schematics illustrating (a) elastic wave propagation that samples a point (blue dot) on a reflector with incident P-wavefield, (b) imaging of the reflection point using Claerbout's IC, and (c) SICP-IC. (d) Generalization of SICP-IC for reflection and transmission seismic data with either (or both) incident P- or/and S-wavefield(s). The red arrows in (b), (c) and (d) indicate the direction of the propagating waves that form an image. The grey lines mark available wave types that are not used in the image construction. Although source information indicates the origin of the waves, the image obtained with SICP-IC uses receiver information only.

where  $\underline{u}$  is the total displacement (or particle velocity), and  $\nabla$ ,  $\nabla \cdot$  and  $\nabla \times$  are gradient, divergence and curl operators, respectively. The form of the IC given in equations 2 and 3 is called a de-convolutional IC, denoted with the superscript *decon*. This IC depends strongly on the choice of the  $\epsilon^2$ , which changes for different data sets and may still be unstable. As an alternative to the de-convolutional IC, the cross-correlational IC is defined by taking only the numerator of equations 2 and 3 (Shabelansky et al., 2014)

$$I^{cross}(\underline{x}) = \sum_j^{N_s} \int_T^0 \underline{u}_{ip}(\underline{x}, t) \cdot \underline{u}_{is}(\underline{x}, t) dt. \quad (5)$$

Note that the cross-correlational SICP-IC is unconditionally stable. However, because the denominator is omitted, the cross-correlational image is not-amplitude balanced.

The de-convolutional ICs, presented in equations 2 and 3, go to infinity when the wavefield of the denominator is zero. This contradicts the idea behind the IC: when one wavefield is zero the image should be zero. Another downside of the IC in equations 2 and 3 is that only one wavefield is used for normalization/illumination (either P- or S-wave but not both). To alleviate these two limitations, we propose another IC that will be set to zero when one of the waves is zero and will be between -1 and 1: the values of  $\pm 1$  are when both wavefields are equal in amplitude with the same or opposite sign. We call this the normalized de-convolutional SICP-IC. The explicit form of this normalized SICP-IC is:

$$I^M(\underline{x}) := \sum_j^{N_s} \int_T^0 \frac{4\underline{u}_{ip}(\underline{x}, t) \cdot \underline{u}_{is}(\underline{x}, t)}{(\underline{u}_{ip}(\underline{x}, t))^2 + 2|\underline{u}_{ip}(\underline{x}, t) \cdot \underline{u}_{is}(\underline{x}, t)| + (\underline{u}_{is}(\underline{x}, t))^2 + \epsilon^2} dt. \quad (6)$$

## Numerical Tests - Marmousi Model

To examine the stability and illustrate the advantages of different SICP-ICs given in equations 2, 3, 5 and 6, we test them with the synthetic Marmousi model. All elastic wave solutions for imaging are modeled with a 2D finite-difference solver, using a second order in time staggered-grid pseudo-spectral method with perfectly matched layer (PML) absorbing boundary conditions (Shabelansky, 2015, app. A). We use the P-wave speed model, shown in Figure 2(a) with constant density of  $2500 \text{ kg/m}^3$ , and  $V_p/V_s$  of 2. The number of grid points in the models is  $N_z = 150$  and  $N_x = 287$ , and the spatial increments are  $\Delta x = \Delta z = 12 \text{ m}$ . In Figure 2(b) we show a model of the discontinuities in Marmousi that we use as a perfect reference for the imaging results: this model was produced from the difference between the original squared P-wave slowness (Figure 2(a)) and a spatially smoothed squared P-wave slowness. We model 27 sources with a vertical point force mechanism, equally distributed horizontally with 120 m spacing at the surface. We use a Ricker wavelet with a peak frequency of 30 Hz and time step of 0.0005 s. The seismic data are recorded with two-component receivers that are equally distributed and span the same computational grid at the surface. In Figure 3 we show imaging results produced with the four SICP-ICs. We applied a Laplacian filter to remove low frequency noise. However, we applied no vertical gain nor compensation for geometric spreading, in contrast to common practice (e.g., Claerbout, 1982, p. 235), in order to highlight the difference between different ICs. Figure 3(a), obtained with the de-convolutional SICP-IC with P-wave illumination (equation 2), shows good amplitude balancing with depth, although it amplifies the shallow part, particularly in the top right between 0 and 0.4 km in depth and 2 and 3 km in horizontal distance. The result in Figure 3(b), obtained with the de-convolutional SICP-IC with S-wave illumination (equation 3), illustrates higher resolution because of the short S-wavelengths. However, it suffers from noise, caused by instabilities in the imaging condition. Figure 3(c), obtained with cross-correlational SICP-IC (equation 5), clearly shows that the amplitudes are attenuated with depth. The image in Figure 3(d) produced by equation 6 is similar to Figure 3(a). However, the amplitudes in Figure 3(d) are considerably better balanced and have better spatial resolution with depth using both P- and S-illuminating wavefields compared with those in Figures 3(a), 3(b) and 3(c) (see particularly the shallow region between 0 and 0.4 km in depth, and the deep region of anticlines).

## Conclusions

We presented cross-correlational and de-convolutional forms of SICP-IC, and showed their relationship with reflection and conversion coefficients through the introduction of a new concept of conversion ratio coefficients. We tested the ICs with a synthetic Marmousi model. The results showed clear advantages when appropriate illumination compensation is applied. This opens up the possibility of source-independent full-wavefield imaging with true amplitudes, a method that can considerably improve the quality and resolution of images.

## Acknowledgements

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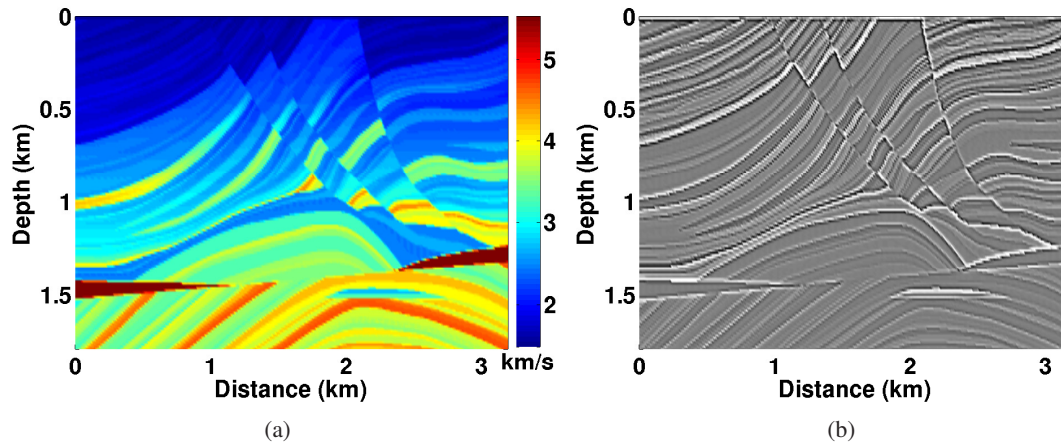
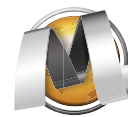


Figure 2 (a) Marmousi P-wave velocity model, (b) Model of discontinuities produced by taking the difference between the original slowness squared of (a) and its smoothed squared model.

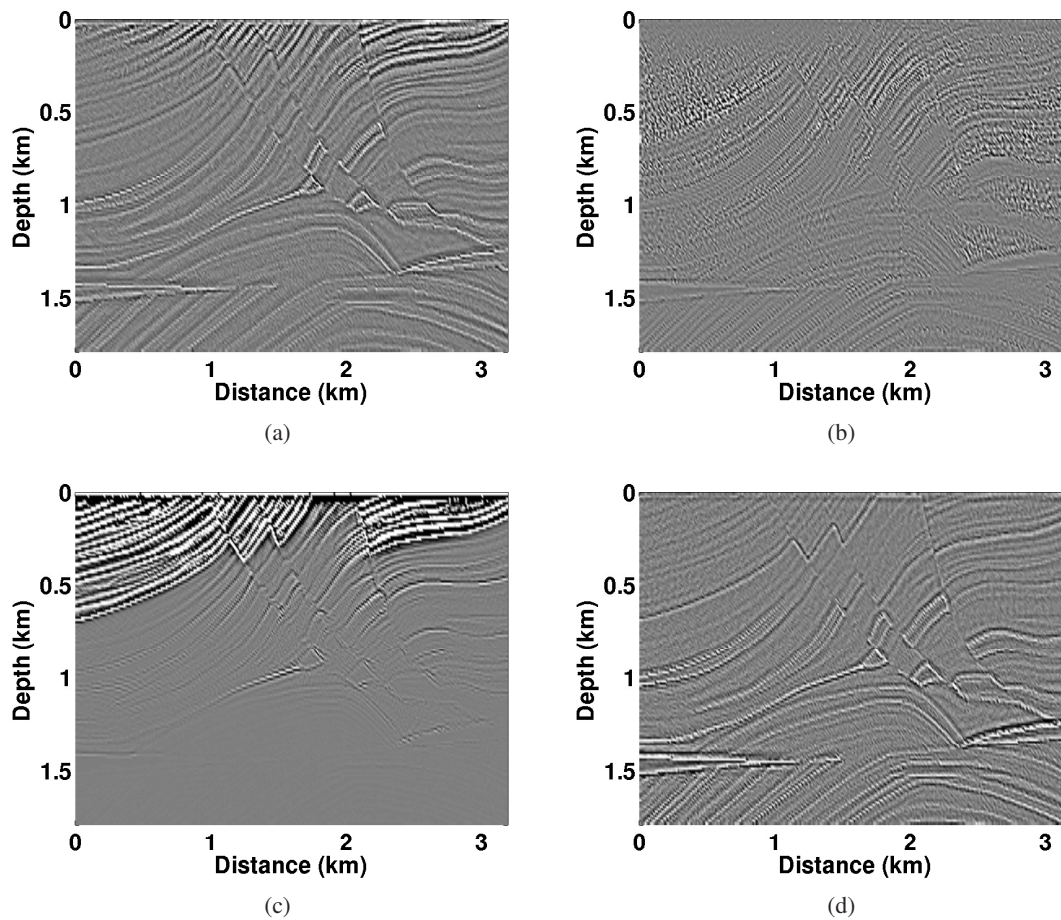


Figure 3 Migrated images produced with 27 sources with 0.12 km horizontal interval at the surface and receivers at the surface using: (a) de-convolutional SICP-IC with P-wave illumination (equation 2), (b) de-convolutional SICP-IC with S-wave illumination (equation 3), (c) cross-correlational SICP-IC (equation 5) and (d) de-convolutional SICP-IC with normalized illumination (equation 6). The source mechanism of each source is a vertical point force and  $V_p/V_s = 2$ . Note that amplitudes in (a) and (c) are attenuated with depth, in (b) the image is noisy, while in (d) the image amplitudes are better balanced, particularly at the shallow depths between 0 and 0.4 km and in the regions containing anticlines, compared to those in (a), (b) and (c). A laplacian filter was applied to all images.